

NOTICE OF THIRTEENTH ANNUAL GENERAL MEETING

Notice is hereby given that **Thirteenth Annual General Meeting** of the members of **360 ONE INVESTMENT ADVISER AND TRUSTEE SERVICES LIMITED** (“the Company” and formerly known as IIFL INVESTMENT ADVISER AND TRUSTEE SERVICES LIMITED) will be held at shorter notice on **Monday, June 10, 2024 at 11.45 AM (IST)** at the registered office of the Company situated at 360 ONE Centre, Kamala Mills, Senapati Bapat Marg, Lower Parel (West), Mumbai – 400013, Maharashtra, India to transact the following business:

ORDINARY BUSINESS:

1. To consider and adopt the Audited Financial Statements of the Company for the financial year ended March 31, 2024, the reports of the Board of Directors and Auditors thereon and, in this regard, to consider and pass the following resolution as an **Ordinary Resolution**:

“**RESOLVED THAT** the Audited Financial Statements of the Company for the financial year ended March 31, 2024, together with the Board’s and the Auditors’ Reports thereon, be and are hereby considered and adopted.”

2. To appoint Mr. Girish Venkataraman (DIN: 06981605), Director who retires from office by rotation and being eligible, offers himself for re-appointment and, in this regard, to consider and pass the following resolution as an **Ordinary Resolution**:

“**RESOLVED THAT** pursuant to Section 152 and other applicable provisions, if any, of the Companies Act, 2013, read with rules framed thereunder, Mr. Girish Venkataraman (DIN: 06981605), who retires by rotation and being eligible for re-appointment, be and is hereby re-appointed as a Director of the Company, liable to retire by rotation.”

By Order of the Board of Directors

For 360 ONE INVESTMENT ADVISER AND TRUSTEE SERVICES LIMITED

(formerly known as IIFL INVESTMENT ADVISER AND TRUSTEE SERVICES LIMITED)

Sd/-

Nikita Shah

Company Secretary

ACS: A26447

Date: June 07, 2024

Place: Mumbai

360 ONE INVESTMENT ADVISER AND TRUSTEE SERVICES LIMITED

(Formerly known as IIFL Investment Adviser and Trustee Services Limited)

Corporate & Registered Office: 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel (West), Mumbai – 400 013

Tel (91-22) 4876 5600 Fax (91-22) 4646 4706 Email secretarial@360.one www.360.one

REGISTRATION NO: INA00000888

CIN: U74990MH2010PLC211334

NOTES:

1. A member entitled to attend and vote at the Annual General Meeting (the “AGM” or “Meeting”) is entitled to appoint a proxy to attend and vote on a poll instead of himself /herself and the proxy need not be a member of the Company. The instrument appointing the proxy should, however, be deposited at the registered office of the Company not less than forty-eight hours before the commencement of the Meeting. A person can act as a proxy on behalf of members not exceeding fifty and holding in the aggregate not more than ten percent of the total share capital of the Company carrying voting rights. A member holding more than ten percent of the total share capital of the Company carrying voting rights may appoint a single person as proxy and such person shall not act as a proxy for any other person or shareholder.
2. Pursuant to Section 113 of the Act, the corporate members may appoint representatives for the purpose of participation and voting in the AGM.

Corporate members intending to attend the AGM through their representatives are requested to send a certified true copy of the board resolution and power of attorney (PDF / JPG format) if any, authorizing their representative to attend and vote on their behalf. The said resolution / authorization shall be sent to by e-mail through its registered e-mail ID address to secretarial@360.one.

3. The Register of Directors and their shareholding, maintained under Section 170 of the Companies Act, 2013 and Register of Contracts or Arrangements in which Directors are interested maintained under Section 189 of the Companies Act, 2013 and all other documents referred to in the notice and explanatory statement, will be available for inspection by the members of the Company at Registered office of the Company during business hours 10:00 A.M. to 06:00 P.M. (except Saturday and Sunday) up to the date of Annual General Meeting and will also be available during the Annual General Meeting.
4. During the period beginning 24 hours before the time fixed for the commencement of the meeting and ending with the conclusion of the meeting, a member would be entitled to inspect the proxies lodged at any time during the business hours of the Company, provided that not less than three days of notice in writing is given to the Company.
5. Members / proxies attending the meeting are requested to bring their duly filled admission / attendance slips sent along with the notice of annual general meeting at the meeting.
6. Details of Director retiring by rotation and seeking re-appointment at the ensuing Meeting is provided in the “**Annexure A**” to the Notice.
7. A Route Map along with Prominent Landmark for easy location to reach the venue of Annual General Meeting is annexed to the Notice.

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Annexure A

Detailed profile of Director seeking Re-appointment in the Annual General Meeting pursuant to Secretarial Standard on General Meetings, issued by the Institute of Company Secretaries of India

Name of the Director	Mr. Girish Venkataraman
Concerned Item No.	2
DIN	06981605
Date of Birth/Age	May 06, 1968 / 56 years
Date of Appointment	November 01, 2014 as Chief Executive Officer January 15, 2019 as Whole-time Director
Expertise in specific functional areas	Mr. Girish Venkataraman has over 25 years of experience in the financial services industry across Asset Management and Wealth Management. He spearheads strategic initiatives such as inorganic growth, wealth structuring solutions and the corporate advisory business.
Qualification	Cost Accountant
Experience	Over 25 Years
Terms & Conditions of Appointment/re-appointment	He is liable to retire by rotation
Shareholding	1 Equity share [as a nominee of 360 ONE WAM LIMITED (formerly known as IIFL Wealth Management Limited)]
Last drawn Remuneration, if any	The remuneration is paid pursuant to the approval of the shareholders at the Extra-Ordinary General Meeting of the Company held on January 08, 2024 and the Board of Directors from time to time.
Relationship with other Directors/ Managers/KMP	Not related to any Director and Key Managerial Personnel of the Company
Number of Board Meetings attended during FY 2023-24	Seven out of Seven Board Meetings
Details of Directorship in other Companies	Nil
Details of Membership/ Chairmanship of Committees of other Boards	Members of Corporate Social Responsibility Committee of the Board of the Company

For 360 ONE INVESTMENT ADVISER AND TRUSTEE SERVICES LIMITED
(formerly known as IIFL INVESTMENT ADVISER AND TRUSTEE SERVICES LIMITED)

Sd/-
Nikita Shah
Company Secretary
ACS: A26447
Date: June 07, 2024
Place: Mumbai

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ATTENDANCE SLIP

PLEASE FILL THE ATTENDANCE SLIP AND HAND IT OVER AT THE ENTRANCE OF THE MEETING HALL.

Joint shareholders may obtain additional slips on request.

Master Folio No:	
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NAME AND ADDRESS OF SHAREHOLDER: _____

NAME OF PROXYHOLDER: _____

NO. OF SHARES HELD: _____

I hereby record my presence at the **Thirteenth Annual General Meeting** of the members of **360 ONE INVESTMENT ADVISER AND TRUSTEE SERVICES LIMITED** ("the Company" and formerly known as IIFL INVESTMENT ADVISER AND TRUSTEE SERVICES LIMITED) will be held on **Monday, June 10, 2024 at 11.45 AM (IST)** at the registered office of the Company situated at 360 ONE Centre, Kamala Mills, Senapati Bapat Marg, Lower Parel (West), Mumbai – 400013, Maharashtra, India

Signature of the Shareholder or Proxy _____

Notes:

(1) Members / Proxy holders are requested to produce the attendance slip duly signed for admission to the Meeting hall.

Form No. MGT-11

PROXY FORM

[Pursuant to section 105(6) of the Companies Act, 2013 and rule 19(3) of the Companies (Management and Administration) Rules, 2014]

CIN: U74990MH2010PLC211334
Name of the Company: the360 ONE Investment Adviser and Trustee Services Limited (formerly known as IIFL Investment Adviser and Trustee Services Limited)
Registered Office: 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel (West), Mumbai – 400013

Name of the Member(s):
Registered address:
E-mail Id:
Folio No. / Client Id:
DP ID:

I / We, being the member(s) of shares of the above-named Company, hereby appoint:

1. Name:
 2. Address:
 3. Email Id:
 4. Signature: or failing him
1. Name:
 2. Address:
 3. Email Id:
 4. Signature: or failing him
1. Name:
 2. Address:
 3. Email Id:
 4. Signature: or failing him

As my/our proxy to attend and vote (on a poll) for me/us and on my/our behalf at the **Thirteenth Annual General Meeting** of the members of **360 ONE INVESTMENT ADVISER AND TRUSTEE SERVICES LIMITED** (“the Company” and formerly known as IIFL INVESTMENT ADVISER AND TRUSTEE SERVICES LIMITED) will be held on **Monday, June 10, 2024 at 11.45 AM (IST)** at the registered office of the Company situated at 360 ONE Centre, Kamala Mills, Senapati Bapat Marg, Lower Parel (West), Mumbai – 400013, Maharashtra, India and at any adjournment thereof in respect of such resolutions as are indicated below:

1. To consider and adopt the audited financial statements of the Company for the financial year ended March 31, 2024, the reports of the Board of Directors and Auditors thereon.
2. To appoint a director in place of Mr. Girish Venkataraman (DIN: 06981605), Director, who retires by rotation and being eligible, offers himself for re-appointment.

Signed this _____ day of _____ 2024

Signature of Shareholder: _____

Signature of Proxy holder(s): _____

Affix revenue
stamp

Note: This form of proxy in order to be effective should be duly completed and deposited at the Registered Office of the Company, not less than 48 hours before the commencement of the Meeting.

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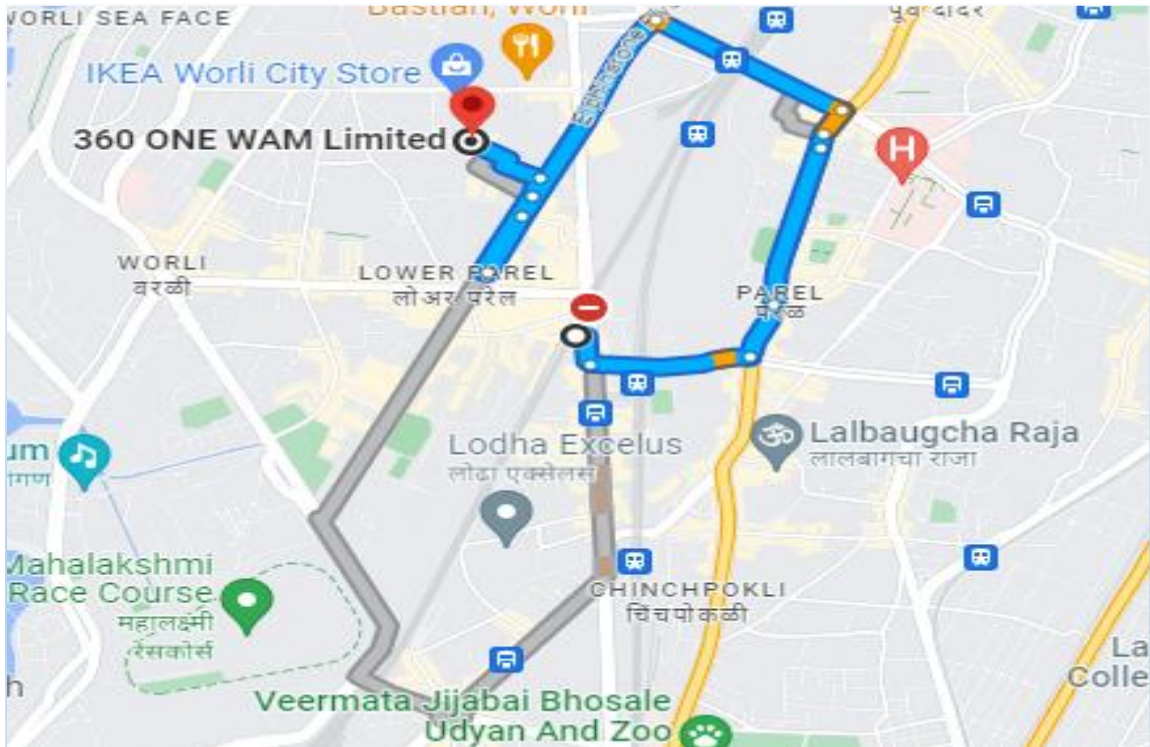
Tel (91-22) 4876 5600 Fax (91-22) 4646 4706 Email secretarial@360.one www.360.one

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Route Map

360 ONE Centre, Kamala Mills, Senapati Bapat Marg, Lower Parel (West), Mumbai – 40013, Maharashtra, India



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