

General information about company	
Scrip code	974688
NSE Symbol	
MSEI Symbol	
ISIN	INE248U07756
Name of the entity	FORMERLY KNOWN AS IIFL WEALTH PRIME LIMITED)
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Quarterly
Date of Report	31-12-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I								
Annexure I to be submitted by listed entity on quarterly basis								
I. Composition of Board of Directors								
Disclosure of notes on composition of board of directors explanatory								
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							No	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Subbaraman Narayan	ABUPN5095R	00094081	Non-Executive - Independent Director	Chairperson		20-06-1943
2	Ms	Rekha Warriar	AAAPW1927E	08152356	Non-Executive - Independent Director	Not Applicable		26-07-1957
3	Ms	Revathy Ashok	ACLPR3951Q	00057539	Non-Executive - Independent Director	Not Applicable		16-01-1959
4	Mr	Karan Bhagat	AEBPB7249B	03247753	Non-Executive - Non Independent Director	Not Applicable		25-04-1977
5	Mr	Himanshu Jain	ADQPJ2993L	02052409	Executive Director	Not Applicable		19-04-1975
6	Mr	Yatin Shah	AAMPS4225K	03231090	Executive Director	Not Applicable		27-01-1976

I. Composition of Board of Directors					
Disqualification of Directors under section 164 of the Companies Act, 2013					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active

I. Composition of Board of Directors												
Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Yes	15-03-2022	31-03-2017	31-03-2022		81	4	4	5	3		
2	Yes	30-06-2023	01-08-2018	02-05-2023		62	2	2	4	2		
3	No		28-11-2023			2	6	6	9	3		
4	NA		11-06-2020				2	0	0	0		
5	NA		04-10-2016	30-09-2022			0	0	1	0		
6	NA		04-10-2016				1	0	3	0		

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08152356	Rekha Warriar	Non-Executive - Independent Director	Chairperson	01-08-2018		
2	00094081	Subbaraman Narayan	Non-Executive - Independent Director	Member	31-03-2017		
3	03231090	Yatin Shah	Executive Director	Member	24-11-2022		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08152356	Rekha Warriar	Non-Executive - Independent Director	Chairperson	01-08-2018		
2	00094081	Subbaraman Narayan	Non-Executive - Independent Director	Member	31-03-2017		
3	03247753	Karan Bhagat	Non-Executive - Non Independent Director	Member	11-06-2020		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00094081	Subbaraman Narayan	Non-Executive - Independent Director	Chairperson	27-10-2021		
2	03231090	Yatin Shah	Executive Director	Member	27-10-2021		
3	02052409	Himanshu Jain	Executive Director	Member	27-10-2021		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08152356	Rekha Warriar	Non-Executive - Independent Director	Chairperson	01-08-2018		
2	02052409	Himanshu Jain	Executive Director	Member	04-10-2016		
3	03231090	Yatin Shah	Executive Director	Member	24-11-2022		
4	99999999	Niraj Murarka	Non-Director	Member	02-04-2016		Textual Information(1)
5	99999999	Raghuvir Mukherji	Non-Director	Member	30-01-2018		Textual Information(2)

Sr Text Block	
Textual Information(1)	He is not a director and therefore does'nt have DIN
Textual Information(2)	He is not a director and therefore does'nt have DIN

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00094081	Subbaraman Narayan	Non-Executive - Independent Director	Chairperson	30-07-2018		
2	08152356	Rekha Warriar	Non-Executive - Independent Director	Member	30-07-2018		
3	03231090	Yatin Shah	Executive Director	Member	24-11-2022		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	19-07-2023				Yes	5	4	2
2		31-10-2023	103		Yes	5	4	2
3		28-11-2023	27		Yes	5	4	2

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	19-07-2023				Yes	3	2	1	0
2	Audit Committee	31-10-2023	103			Yes	3	3	2	0
3	Audit Committee	28-11-2023	27			Yes	3	3	2	0
4	Risk Management Committee	19-07-2023				Yes	3	3	1	2
5	Risk Management Committee	31-10-2023	103			Yes	3	3	1	2

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Amit Bhandari
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence	
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No

Signatory Details	
Name of signatory	Amit Bhandari
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	16-01-2024

